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July 28, 2008

**Via U.S. First Class Mail**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box. 1450  
Alexandria, VA 22313-1450

RE: AMENDMENT IN RESPONSE TO OFFICE ACTION DATED 03/28/2008  
Title: "Trading and Auction System, and Method of Authentication of  
Buyers and Sellers and for the Transmission of Trading  
Instructions in a Trading and Auction System"  
Inventors: Dennis Mendiola et al.  
Application No.: 09/878,338  
Filing Date: June 12, 2001 Confirmation no.: 2153  
Examiner: Dass, Harish T. Art Unit: 3692  
Customer No.: 24943  
Our Ref.: YSAP.CHIKKA.PT5

Dear Sir:

Please find enclosed the following:

- 1) an Amendment in response to the Office Action (22 pages);
- 2) a check in the amount of \$120 to cover the fee for a one-month extension; and
- 3) a return receipt postcard.

Sincerely,  
INTELLECTUAL PROPERTY LAW GROUP LLP

Juneko Jackson (Reg. No.: 48,870)  
Enclosures

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage affixed in an envelope addressed to: "Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on the date below.

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Date of deposit: July 28, 2008

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Name of Person Mailing Paper or Fee

[Signature]  
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:	09/878,338	)	Examiner:	Dass, Harish T.
		)		
Applicant:	Mendiola et al.	)	Art Unit:	3692
		)		
Filing Date:	06/12/2001	)	Confirmation no.:	2153
		)		
For:	<i>Trading and Auction System, and</i>	)	RESPONSE TO OFFICE ACTION	
	<i>Method for the Authentication of</i>	)	DATED:	03/28/2008
	<i>Buyers and Sellers and for the</i>	)		
	<i>Transmission of Trading Instructions</i>	)		
	<i>in a Trading and Auction System</i>	)		
		)		
Docket no.:	YSAP.CHIKKA.PT5	)	Customer No.:	24943

AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Office Action dated March 28, 2008, hereinafter, "the Office Action." A petition for extension of time for one (1) month is enclosed that makes the present response due on or before July 28, 2008. The Application is believed by Applicant to be in proper condition for allowance. Accordingly, reconsideration and allowance of all claims are respectfully requested. Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims beginning on page 2 of this paper.

**Remarks/Arguments** begin on page 14 of this paper.